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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Application Number</b>	09/788,301
				<b>Filing Date</b>	02/16/2001
				<b>First Named Inventor</b>	Sercel
				<b>Art Unit</b>	2874
				<b>Examiner Name</b>	John Lee
<b>Sheet</b>	1	<b>of</b>	3	<b>Attorney Docket Number</b>	ALG10NP

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 09/440,311	filed 11-12-1999	Vahala et al	
		US- 09/454,719	filed 12-07-1999	Vahala et al	
		US- 09/739,357	filed 12-07-2000	Yariv	
		US- 09/802,442	filed 03-09-2001	Painter et al	
		US- 60/483,499	filed 02-17-2000	Sercel et al	
		US- 60/226,147	filed 08-18-2000	Sercel et al	
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		US- 09/788,331	filed 02-16-2001	Sercel et al	
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		US- 09/788,300	filed 02-16-2001	Vahala et al	
ADZ		US- 4,768,849	iss 09-06-1988	Hicks, Jr.	
ADZ		US- 5,138,676	iss 08-11-1992	Stowe et al	
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		US-			
		US-			

[illegible]

Examiner Signature	<i>John D. Lee</i>	Date Considered	03/13/2003
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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PTO/SB/088 (10-01)

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Substitute for form 1449B/PTO		<b>Complete if Known</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/788,301		
		Filing Date	02/16/2001		
		First Named Inventor	Sercel		
		Group Art Unit	2874		
		Examiner Name	John Lee		
Sheet	3	of	3	Attorney Docket Number	ALG10NP

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
JDL		J. C. Knight, G. Cheung, F. Jacques, and T. A. Birks, "Phased-matched excitation of whispering-gallery-mode resonances by a fiber taper", Optics Letters Vol. 22 1129 (1997) (Aug.)	
JDL		N. Dubreuil, J. C. Knight, D. K. Leventhal, V. Sandoghdar, J. Hare, and V. Lefèvre, "Eroded monomode optical fiber for whispering-gallery mode excitation in fused-silica microspheres", Optics Lett. 20 813 (1995) (April)	
JDL		M. Kuwata-Gonokami, R. H. Jordan, A. Dodabalapur, H. E. Katz, M. L. Schilling, R. E. Slusher, and S. Ozawa, "Polymer microdisk and microring lasers", Optics Letters Vol 20(20) 2903 (1995) (Oct.)	
JDL		Y. Kawabe, Ch. Spiegelberg, A. Schützgen, M. F. Nabor, B. Kippelen, E. A. Mash, P. M. Allemand, M. Kuwata-Gonokami, K. Takeda, and N. Peyghambarian, "Whispering-gallery-mode microring laser using a conjugated polymer", Applied Physics Letters Vol 72(2) 141 (1998) (Jan.)	
JDL		S. V. Frolov, Z. V. Vardeny, and K. Yoshino, "Plastic microring lasers on fibers and wires", Applied Physics Letters Vol 72(15) 1802 (1998) (April)	
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